

## APPENDIX UF

### UMPIRED FLEET RACING

**Version:** September 17, 2020

*World Sailing (WS) has approved the use of Appendix UF as a test rule, under Regulation 28.1.5(b). The approval applies on the following conditions:*

- 1. Appendix UF is used by changing it as permitted by this appendix for a specific event or series of events. The appendix is named after this event(s). For example, the TP52 Super Series should name their version: "Umpired Fleet Racing. TP52 Super Series Edition"*
- 2. In UF1, rules are only changed by choosing between the options provided. UF1 includes rules that rule 86.1 normally prevents an event from changing. However, when this appendix is used, it is permitted to change these rules, provided that only the options in UF1 are applied, and the text of the options that are applied is not changed.*
- 3. In UF2-UF5 the recommended wording provided below is only changed when permitted by rule 86.1. This includes replacing highlighted text with the relevant details for the event.*
- 4. Options not chosen and the highlighted instructions shall be deleted from the appendix.*

*Marginal marks indicate important changes from recent versions.*

*Please answer the questions below and email them to [rules@sailing.org](mailto:rules@sailing.org):*

*Name of the event:*

*Dates of the event*

*Chief umpire:*

*Classes:*

*Number of Boats per race:*

*Number of Umpire boats:*

*Number of Umpires:*

*Number of umpire decisions (may be approximate):*

*Number of hearings conducted after racing:*

*Any problems with the text of the Appendix:*

*Any additions requested:*

*Other comments to Appendix UF:*

*Attach the Appendix UF for the event.*

***This page should be deleted in the version of Appendix UF that is published for an event***

## APPENDIX UF

### UMPIRED FLEET RACING

[insert Event name] Edition

Version: [insert month, day and year]

*Umpired fleet races shall be sailed under The Racing Rules of Sailing as changed by this appendix. Races shall be umpired. The rule changes in UF1 have been approved by World Sailing under Regulation 28.1.5(b) on the condition that only the provided options are used. This appendix applies only when it is referred to in the Notice of Race and made available for all competitors.*

#### UF1 CHANGES TO THE DEFINITIONS, THE RULES OF PART 1 AND 2, AND RULE 70

UF1.1 Add to the definition *Proper Course*: ‘A boat taking a penalty or manoeuvring to take a penalty is not sailing a *proper course*.’

UF1.2 Add new rule 8 to Part 1:

##### 8 LAST POINT OF CERTAINTY

The umpires will assume that the state of a boat, or her relationship to another boat, has not changed, until they are certain that it has changed.’

UF1.3 [Optional change]

Rule 14 is replaced with:

##### 14 AVOIDING CONTACT

A boat shall avoid contact with another boat if reasonably possible. However, a right-of-way boat or one entitled to room or mark-room

- (a) need not act to avoid contact until it is clear that the other boat is not *keeping clear* or giving *room* or *mark-room*.
- (b) When there is contact between boats’ hulls, the umpires may, without a hearing, impose a point penalty of [insert number of points] points on a boat that was penalised in the incident. Furthermore, the umpires may also impose a point penalty of [insert number of points] points on other boats if they consider that they contributed to the contact. This rule also applies to [insert other objects than the hull or delete this sentence].
- (c) When there is contact that causes damage, or the umpires decide a boat has broken rule 14 and damage resulted, they may, without a hearing, impose a penalty on any boat involved in the incident. The minimum penalty to be applied in such a case is [insert number of points].

UF1.4 [Remove this rule for classes that do not use arm signals]

When rule 20 applies, the following arm signals are required in addition to the hails:

- (a) for ‘Room to tack’, repeatedly and clearly pointing to windward; and
- (b) for ‘You tack’, repeatedly and clearly pointing at the other boat and waving the arm to windward.

[Optional change to either use with arm signals or use as a standalone rule]

When rule 20 applies, the hails shall be communicated over the radio channel designated in the sailing instructions.

**UF1.5** Rule 70 is deleted.

**UF1.6 Test rules**

These test rules published by World Sailing will apply:

- (a) Test Rule 'Definition of Start and Finish' will apply.
- (b) [*Optional change*] Test rule 'Definition of Mark-Room' will apply.
- (c) [*Optional change*] Test rule 'Delete RRS 13' will apply.
- (d) [*Optional change*] Test rule 'Delete RRS 17' will apply.

## UF2 CHANGES TO OTHER RULES

### UF2.1 [Optional change if umpires can *not* penalise for breaches of rule 28]

Delete the reference to rule 28 in rules UF3.4(b), UF5.3(a) and UF5.10.

### UF2.2 [Option to delete rule 31 so any mark touch is permitted]

Rule 31 is deleted.

[Alternative option to change rule 31 so only crew or hull contact with marks is prohibited]

Rule 31 is replaced with

‘While *racing*, neither the crew nor any part of a boat’s hull shall touch a starting *mark* before *starting*, a *mark* that begins, bounds or ends the leg of the course on which she is sailing, or a finishing *mark* after *finishing*. In addition, while *racing*, a boat shall not touch a race committee vessel that is also a *mark*.’

### UF2.3 Rule 64.1(a) is changed so that the provision for exonerating a boat may be applied by the umpires without a hearing, and it takes precedence over any conflicting rule of this appendix.

### UF2.4 [Option to delete Appendix P if it was used in a previous stage of the event. Delete if the whole event use Appendix UF.]

Rules P1 to P4 shall not apply.

## UF3 ON WATER PROTESTS AND PENALTIES

### UF3.1 In this appendix, ‘a penalty’ will mean the following:

[Option if the penalty is a One-Turn Penalty]

A One-Turn Penalty taken in accordance with rule 44.2.

[Alternative option if another penalty applies]

[insert description of penalty].

### UF3.2 The first sentence of rule 44.1 is replaced with: ‘A boat may take a penalty when, in an incident while *racing*, she may have broken one or more of the *rules* of Part 2 (except rule 14 when she has caused damage or injury), rule 31 or rule 42. However, when she may have broken a rule of Part 2 and rule 31 in the same incident, she need not take the penalty for breaking rule 31’

### UF3.3 On Water Protests by Boats and Penalties

- (a) While racing, a boat may protest another boat under a rule of Part 2 (except rule 14) or under rule 31 or 42; however, a boat may only protest under a rule of Part 2 for an incident in which she was involved. To do so she shall hail ‘Protest’ and conspicuously display a [insert flag description: red, Y or other] flag at the first reasonable opportunity for each. She shall remove the flag before, or at the first reasonable opportunity after a boat involved in the incident has taken a penalty voluntarily or after an umpire’s decision.

[Option if some classes do not need a protest flag]

The protest flag is not required for [insert classes or events].

- (b) A boat that protests as provided in rule UF3.3(a) is not entitled to a hearing, unless permitted by rule UF5.2. Instead, a boat involved in the incident may

acknowledge breaking a rule by voluntarily taking a penalty. An umpire may penalize any boat that broke a rule and was not exonerated, unless the boat took a penalty voluntarily.

#### **UF3.4 Penalties and Protests Initiated by an Umpire**

- (a) When a boat
  - (1) breaks rule 31 and does not take a penalty,
  - (2) breaks rule 42,
  - (3) gains an advantage despite taking a penalty,
  - (4) commits a breach of sportsmanship, or
  - (5) fails to comply with rule UF3.6 or to take a penalty when required to do so by an umpire,

*[Optional: add additional items (6) etc. for breaches of class rules, boat handling rules, or prohibited sailing areas that umpires can penalise for]*

an umpire may penalize her without a protest by another boat. The umpire may impose a penalty or more, each signalled in accordance with rule UF3.5(b), or disqualify her under rule UF3.5(c), or report the incident to the protest committee for further action. If a boat is penalized under rule UF3.4(a)(5) for not taking a penalty or taking a penalty incorrectly, the original penalty is cancelled.

- (b) An umpire who decides, based on his own observation or a report received from any source, that a boat may have broken a rule, other than rule UF3.6 or rule 28 or a rule listed in rule UF3.3(a), may inform the protest committee for its action under rule 60.3. However, he will not inform the protest committee of an alleged breach of rule 14 unless there is damage or injury.

- (c) *[Option if the umpires can penalize for a breach of rule 28]*

The last sentence of rule 28.2 is changed to ‘She may correct any errors to comply with this rule, provided she has not rounded the next mark or finished.’  
A boat that does not correct any such error shall be disqualified under rule UF3.5(c).

#### **UF3.5 Umpire Signals**

An umpire will signal a decision as follows:

- (a) A green and white flag with one long sound means ‘No penalty.’
- (b) A red flag with one long sound means ‘a penalty is imposed or remains outstanding.’ The umpire will hail or signal to identify each such boat.
- (c) A black flag with one long sound means ‘A boat is disqualified.’ The umpire will hail or signal to identify the boat disqualified.

- (d) *[Option if the umpires can display a flag when they do not have all facts]*

A *[insert description of flag: J or other]* flag with one long sound means ‘The umpires do not have the facts required to make a decision.’

#### **UF3.6 Imposed Penalties**

- (a) A boat penalized under rule UF3.5(b) shall take a penalty.
- (b) A boat disqualified under rule UF3.5(c) shall promptly leave the course area.

#### **UF4 RACE COMMITTEE ACTIONS**

##### **UF4.1** *[Option if the race committee provides results at the finishing line]*

At the finishing line, the race committee will inform the competitors about each boat's finishing place or scoring abbreviation. After this has been done, the race committee will promptly display flag B with one sound. Flag B will be displayed for at least two minutes and then removed with one sound. If the race committee changes the scoring information provided at the finishing line while flag B is displayed, it will display flag L with one sound. Flag B will continue to be displayed for at least two minutes after any changes are made.

*[Alternative option if the race committee does not provide results at the finishing line but does so over radio]*

After boats have finished, the race committee will inform competitors about the results over radio on [insert channel].

*[Alternative option if the race committee does not provide results at the finishing line but does so on the official noticeboard]*

After boats have finished, the race committee will inform competitors about the results on the official noticeboard.

#### **UF5 PROTESTS; REQUESTS FOR REDRESS OR REOPENING; APPEALS; OTHER PROCEEDINGS**

**UF5.1** No proceedings of any kind may be taken in relation to any action or non-action by an umpire.

##### **UF5.2** *[Option if a boat is allowed to protest when there is no umpire response]*

Rule 60.1 is replaced with 'A boat may protest another boat or request redress provided she has complied with rule UF3.3(a) and

- (a) the umpires have not made any signal and no boat involved in the incident has taken a penalty voluntarily, or
- (b) *[option to add if a flag is defined in rule UF3.5(d)]*  
the umpires have acknowledged her protest by displaying the flag defined in rule UF3.5(d).

**UF5.3** A boat intending to

- (a) protest another boat under a rule other than rule UF3.6 or rule 28, or a rule listed in rule UF3.3(a),
- (b) protest another boat under rule 14 if there was contact that caused damage or injury, or
- (c) request redress

shall inform the race committee in the following way:

*[Option when the race committee displays results at the finishing line]*

hail the race committee before or during the display of flag B.

*[Option when the race committee does not display results at the finishing line]*

*[describe how boats inform the race committee of its intention to protest or request redress and what the time limit is for informing the race committee about this]*

- UF5.4** The time limit defined in rule UF5.3 also applies to protests under rule UF5.9, UF5.10 and UF5.11 when such protests are permitted. The protest committee shall extend the time limit if there is good reason to do so.
- UF5.5** The race committee will promptly inform the protest committee about any protests or requests for redress made under rule UF5.3.
- UF5.6** The third sentence of rule 61.1(a) and all of rule 61.1(a)(2) are deleted.  
*[Option to use if redress cannot be given]*  
 Rules 62.1(a), (b) and (d) are deleted.
- UF5.7** The first three sentences of rule 64.1 are replaced with: ‘When the protest committee decides that a boat that is a *party* to a protest hearing has broken a rule, it may impose penalties other than disqualification, and may make any other scoring arrangement it decides is equitable. If a boat has broken a *rule* when not *racing*, the protest committee shall decide whether to apply any penalty to the race sailed nearest in time to that of the incident or make some other arrangement.’
- UF5.8**
- (a) Protests and requests for redress need not be in writing.
  - (b) The protest committee may inform the protestee and schedule the hearing in any way it considers appropriate and may communicate this orally.
  - (c) The protest committee may take evidence and conduct the hearing in any way it considers appropriate and may communicate its decision orally.
  - (d) If the protest committee decides that a breach of a rule has had no effect on the outcome of the race, it may impose a penalty of points or fraction of points or make another arrangement it decides is equitable, which may be to impose no penalty.
  - (e) If the protest committee penalizes a boat in accordance with rule UF5.8 or if a standard penalty is applied, all other boats will be informed about the change of the penalized boat’s score.
- UF5.9** The race committee will not protest a boat *[option if the race committee may protest: except under rule [insert rules]]*.
- UF5.10** The protest committee may protest a boat under rule 60.3. However, it will not protest a boat for breaking rule UF3.6 or rule 28, a rule listed in rule UF3.3(a), or rule 14 unless there is damage or injury.
- UF5.11** The technical committee will only protest a boat under rule 60.4 when it decides that a boat or personal equipment does not comply with the class rules, rule 43, or the rules in the equipment regulations of the event, if such exist. In such a case, the technical committee shall protest.
- UF5.12** In rule 66 the third sentence is changed to ‘A party to the hearing under this appendix may not ask for a reopening.’

## Other Documents

Consider inclusion in Umpires' manual:

*There should be one umpire boat for every three or four boats in the fleet.*

*Organising Authorities should consider if it is viable to use this appendix when there will be other boats racing in the same area that are not using Appendix UF.*

Guidance on:

- Use of points in the modified rule 14.
- Explanation when the test rule with the changed definition of mark room should be used (only for "professional" events).
- Same for deletion of rule 13.

## Test Rules

1. The definition *Start* is changed to:

*Start* A boat *starts* when, having been entirely on the pre-start side of the starting line at or after her starting signal, and having complied with rule 30.1 if it applies, any part of her hull crosses the starting line in the direction of the first mark.

2. The definition *Finish* is changed to:

***Finish*** A boat *finishes* when any part of her hull crosses the finishing line from the course side. However, she has not *finished* if after crossing the finishing line she

- (a) takes a penalty under rule 44.2,
- (b) corrects an error under rule 28.2 made at the line, or
- (c) continues to sail the course.

3. [Optional change]

The definition *Mark-Room* is changed to:

***Mark-Room*** Room for a boat to sail her proper course to round or pass the mark on the required side.

4. [Optional change]

Rule 13 is deleted.

5. [Optional change]

Rule 17 is deleted.

6. In rules 29 and 30 replace 'hull, crew or equipment' with 'hull'.

## Call for Umpired Fleet Racing

1. On rule 10: 'However, when this rule applies and the starboard-tack boat has signalled that the port-tack boat can pass in front of her, the port-tack boat is not required to keep clear, provided it passes in front of the starboard-tack boat.'

(This is controversial as it changes rule 10. In any case, it will need a call saying (1) any hand signal by the starboard tack boat will be interpreted as a signal, and (2) if a boat has signalled in accordance with this rule and protests, it breaks rule 2.

Alternatively, it is not included, but there is a call with the above contents.)

2. There is an argument that UF3.4(a)(4) and (5) should be 'black flag' as they are breaches of RRS 2, but that is also an issue for Addendum Q – suggest it is put on the list for later.